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JUN 06 2003  
PATENT & TRADEMARK OFFICE

Our File No. 09800410-0007

~~TRADE SECRET~~  
In re Application of:

Serial No. 10/008,955

Examiner Ronald B. Schwadron

Filing Date: December 7, 2001

Group Art Unit No. 1644

## For NATURAL KILLER CELL LINES AND METHODS OF USE

TRANSMITTAL

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Commissioner for Patents  
Washington, D.C. 20231

JUN 12 2003

Dear Sir:

TECH CENTER 1600/2900

Transmitted herewith is:

- ☒ Power of Attorney or Authorization of Agent
- ☒ Response to Notice to Comply (in duplicate)
- ☒ Statement According to 37 C.F.R. § 1.821(f)
- ☒ 1 page Sequence Listing
- ☒ Diskette with Computer Readable Form
- ☒ No additional fee is required.
- ☒ The Commissioner is hereby authorized to charge any fees associated with this communication not covered by check or credit card payment or credit any overpayment to Deposit Account No. 19-3140. A duplicate copy of this sheet is attached.

Respectfully submitted,

*Gregory M. Zinkl*  
Gregory M. Zinkl, Ph.D.  
Registration No. 48,492

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~~DATE OF MAILING~~ 6/6/2003

JUN 06 2003

**Three Application of:**

Serial No. 10/008,955

**Examiner Ronald B. Schwadron**

Group Art Unit No. 1644

## For NATURAL KILLER CELL LINES AND METHODS OF USE

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In response to the Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 8, 2003 (copy attached), Applicant respectfully submits a computer readable form (CRF) copy of the "Sequence Listing" and a paper copy of the "Sequence Listing". Applicant confirms that the sequence listing provided herewith does not go beyond the disclosure in the application as filed.

Respectfully submitted,

Gregory M. Zinkl, Ph.D.  
Registration No. 48,492

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UNITED STATES  
PATENT AND  
TRADEMARK OFFICECommissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,955	12/07/2001	Hans Klingemann	72535

CONFIRMATION NO. 5420

## FORMALITIES LETTER



\*OC000000009794356\*

22242

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JUN 12 2003

TECH CENTER 1000/2900

Date Mailed: 04/08/2003

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES***Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

JUN 05 2003 11:57

FITCH, EVEN, TABIN & FLANNE

312-577-7007

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PART 2 - COPY TO BE RETURNED WITH RESPONSE